

Date : .....

.....  
.....  
.....  
.....

The CEO,  
**SMB SECURITIES (PVT) LTD.**  
No. 02, Gower Street,  
Colombo 05,  
Sri Lanka

Dear Sir,

**REQUEST FOR ONLINE TRADING FACILITY**

Please be kind enough to provide me with Internet Trading Facility to my following CDS Account.

Name in Full:- Mr./Mrs./Miss. ....  
.....

CDS Number (ID No.)

—   —

E-Mail Address : .....

Mobile : ..... Fixed Line : .....

Thanking you,  
Yours faithfully,

.....  
Client Signature  
NIC No :

.....  
1<sup>st</sup> Joint Client Signature  
NIC No :

.....  
2<sup>nd</sup> Joint Client Signature  
NIC No :

---

**For Office Use**

**Investment Advisor Name :** .....

**Signature of Investment Advisor :** .....

**User Name :** .....

.....  
**Signature - Documentation**

# INTERNET TRADING AGREEMENT

**This Agreement** is made and entered into at Colombo in the Democratic Socialist Republic of Sri Lanka on this ..... day of .....20.....

By and BETWEEN

**SMB SECURITIES (PVT) LTD.** Licensed stock broker under the Securities and Exchange Commission of Sri Lanka and Company registered under the Companies Act No. 7 of 2007, bearing Registration No. PV 965 and having its registered office at No. 02, Gower Street, Colombo 05, Sri Lanka (hereinafter referred to as the "**BROKER**").

And I/We ..... holder of .....  
(full name) (National Identity Card/PP No.)

of .....  
(Address)

..... holder of .....  
(full name) (National Identity Card/PP No.)

of .....  
(Address)

..... holder of .....  
(full name) (National Identity Card/PP No.)

of .....  
(Address)

(hereinafter referred to as the "**CLIENT**" and his/her/their heirs executors and administrates).

We ..... Company registered under the Companies Act No. 7 of 2007, bearing Registration No. .... and having its registered office or principal place of business at ..... (hereinafter referred to as the "**CLIENT**" its successors and permitted assigns).

The Online Trading Application Systems shall be used solely for the purpose of operating the CDS account of the CLIENT and shall not be used by the CLIENT to operate the CDS accounts of any other person, except in instances set out in Stockbroker Rules of the Colombo Stock Exchange.

The CLIENT hereby agrees to use the Online Trading System according to the Rules of the CSE/CDS, any guidelines and/or circulars that may be issued by the CSE/CDS and the provisions of the SEC Act, any rules made and directives issued by the SEC from time to time.

The BROKER is not liable directly or indirectly trading on share by the CLIENT via Online Trading Systems and unauthorized trades by the CLIENT. The CLIENT agrees to take the responsibility and the liability incurred by trading of shares via Online Trading System.

The BROKER will not be liable for any unauthorized use of the password by third parties or for any unauthorized order or trade executed via Internet Trading System and for any accidental and/or erroneously executed trades via Internet Trading System. The CLIENT may contact the BROKER and/or Investment Advisor immediately to arrange a manual trade cancellation subject to counter party and Colombo Stock Exchange approval.

.....  
Client Signature  
NIC No.

.....  
1<sup>st</sup> Joint Client Signature  
NIC No.

.....  
2<sup>nd</sup> Joint Client Signature  
NIC No.

.....  
SMB Securities (Pvt) Ltd.